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TRANSMITTAL LETTER

Case No. 10116-10

Serial No.  
10520,250

Filing Date  
July 15, 2003

Examiner  
Unassigned

Group Art Unit  
Unassigned

Inventor(s)

Hiroshi TERAMACHI

Title of Invention

ROLLING GUIDE APPARATUS

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Check for \$195.00 for the surcharge (small entity) and the English translation surcharge; check for \$210.00 for a two (2) month extension of time fee; Response to Notice to File Missing Parts of Application Filing Date Granted with a copy of the Notice to File Missing Parts of Nonprovisional Application (in duplicate); Declaration for Patent Application; Petition and Fee for Extension of Time (37 C.F.R. § 1.136(a))(in duplicate); Declaration of Yoshiyuki YAMASHITA dated August 10, 2003 and English translation of the specification; Preliminary Amendment; Verified Statement Claiming Small Entity Status - Independent Inventor; 25 drawing sheets; and return receipt postcard.

- ☐ Applicant claims small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☒ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Petition for a two (2) month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 43 =	
+ \$145 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$86 =	
+ \$290 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$195.00 to cover the surcharge (small entity) and English translation surcharge is enclosed.
- ☒ A check in the amount of \$210.00 to cover the two (2) month extension of time fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Tadashi Horie*

Tadashi Horie

Registration No. 40,437

Attorney for Applicant

Customer No. 00757 - Brinks Hofer Gilson Lione

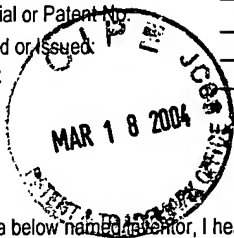
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CHICAGO, ILLINOIS 60610

(312) 321-4200

Applicant or Patentee: Hiroshi Teramachi  
Serial or Patent No. 10/620,250 Case No.: 10116/10  
Filed or Issued: July 15, 2003  
For: Rolling Guide Apparatus



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Rolling Guide Apparatus described in

- ☐ the specification filed herewith.  
☒ application serial no. 10/620,250, filed July 15, 2003.  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization.  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME  
ADDRESS

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME  
ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME  
ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Signature

*Tadashi Horie*

Registered Representative for Inventor  
Tadashi Horie (Reg.#40,437)

Date: 03/18/2004

Signature of Inventor

Name of Inventor

Date

Signature of Inventor

Name of Inventor

Date

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